



Research Letter

The reproductive number of COVID-19 is higher compared to SARS coronavirus

Ying Liu¹, Albert A. Gayle², Annelies Wilder-Smith^{3,4} and Joacim Rocklöv^{2,*}

¹School of International Business, Xiamen University Tan Kah Kee College, Zhangzhou, 363105, China, ²Department of Public Health and Clinical Medicine, Section of Sustainable Health, Umeå University, SE-90187 Umeå, Sweden, ³Heidelberg Institute of Public Health, Im Neuenheimer Feld 130/3, 69120 Heidelberg, Germany and ⁴Department of Epidemiology and Global Health, Umeå University, SE-90187 Umeå, Sweden

*To whom correspondence should be addressed. Tel. +46706361635; Email: joacim.rocklov@umu.se

Teaser: Our review found the average R_0 for COVID-19 to be 3.28, which exceeds WHO estimates from 1.4 to 2.5.

Key words: Coronavirus, Wuhan, China, SARS, Public health emergency of international concern, COVID-19, Epidemic potential, R0

Introduction

In Wuhan, China, a novel and alarmingly contagious primary atypical (viral) pneumonia broke out in December 2019. It has since been identified as a zoonotic coronavirus, similar to SARS coronavirus and MERS coronavirus and named COVID-19. As of 8 February 2020, 33 738 confirmed cases and 811 deaths have been reported in China.

Here we review the basic reproduction number (R_0) of the COVID-19 virus. R_0 is an indication of the transmissibility of a virus, representing the average number of new infections generated by an infectious person in a totally naïve population. For $R_0 > 1$, the number infected is likely to increase, and for $R_0 < 1$, transmission is likely to die out. The basic reproduction number is a central concept in infectious disease epidemiology, indicating the risk of an infectious agent with respect to epidemic spread.

Methods and Results

PubMed, bioRxiv and Google Scholar were accessed to search for eligible studies. The term 'coronavirus & basic reproduction number' was used. The time period covered was from 1 January 2020 to 7 February 2020. For this time period, we identified 12 studies which estimated the basic reproductive number for COVID-19 from China and overseas. Table 1 shows that the estimates ranged from 1.4 to 6.49, with a mean of 3.28, a median of 2.79 and interquartile range (IQR) of 1.16.

The first studies initially reported estimates of R_0 with lower values. Estimations subsequently increased and then again returned in the most recent estimates to the levels initially reported (Figure 1). A closer look reveals that the estimation method used played a role. The two studies using stochastic methods to estimate R_0 , reported a range of 2.2–2.68 with an average of 2.44.^{1, 9} The six studies using mathematical methods to estimate R_0 produced a range from 1.5 to 6.49, with an average of 4.2.^{2, 4–6, 8, 10} The three studies using statistical methods such as exponential growth estimated an R_0 ranging from 2.2 to 3.58, with an average of 2.67.^{3, 7, 11}

Discussion

Our review found the average R_0 to be 3.28 and median to be 2.79, which exceed WHO estimates from 1.4 to 2.5. The studies using stochastic and statistical methods for deriving R_0 provide estimates that are reasonably comparable. However, the studies using mathematical methods produce estimates that are, on average, higher. Some of the mathematically derived estimates fall within the range produced the statistical and stochastic estimates. It is important to further assess the reason for the higher R_0 values estimated by some the mathematical studies. For example, modelling assumptions may have played a role. In more recent studies, R_0 seems to have stabilized at around 2–3. R_0 estimations produced at later stages can be expected to be more reliable, as they build upon more case data and include the effect of awareness and intervention. It is worthy to note that the WHO point estimates are consistently below all published estimates, although the higher end of the WHO range includes the lower end of the estimates reviewed here.

 R_0 estimates for SARS have been reported to range between 2 and 5, which is within the range of the mean R_0 for COVID-19 found in this review. Due to similarities of both pathogen and region of exposure, this is expected. On the other hand,

[©] International Society of Travel Medicine 2020.

This is an Open Access article distributed under the terms of the Creative Commons Attribution Non-Commercial License (http://creativecommons.org/licenses/by-nc/4.0/), which permits non-commercial re-use, distribution, and reproduction in any medium, provided the original work is properly cited. For commercial re-use, please contact journals.permissions@oup.com

Table 1.	Published	estimates	of R_0	for	2019-nCoV
----------	-----------	-----------	----------	-----	-----------

Study (study year)	Location	Study date	Methods	Approaches	<i>R</i> ₀ estimates (average)	95% CI
oseph <i>et al</i> . ¹	Wuhan	31 December 2019–28 January 2020	Stochastic Markov Chain Monte Carlo methods (MCMC)	MCMC methods with Gibbs sampling and non-informative flat prior, using posterior distribution	2.68	2.47-2.86
Shen <i>et al.</i> ²	Hubei province	12–22 January 2020	Mathematical model, dynamic compartmental model with population divided into five compartments: susceptible individuals, asymptomatic individuals during the incubation period, infectious individuals with symptoms, isolated individuals with treatment and recovered individuals	$R_0 = \beta/\alpha$ $\beta = \text{mean person-to-person}$ transmission rate/day in the absence of control interventions, using nonlinear least squares method to get its point estimate $\alpha = \text{isolation rate} = 6$	6.49	6.31-6.66
Liu <i>et al.</i> ³	China and overseas	23 January 2020	Statistical exponential Growth, using SARS generation time = 8.4 days, SD = 3.8 days	Applies Poisson regression to fit the exponential growth rate $R_0 = 1/M(-r)$ M = moment generating function of the generation time distribution r = fitted exponential growth rate	2.90	2.32-3.63
.iu <i>et al.</i> ³	China and overseas	23 January 2020	Statistical maximum likelihood estimation, using SARS generation time = 8.4 days, SD = 3.8 days	Maximize log-likelihood to estimate R_0 by using surveillance data during a disease epidemic, and assuming the secondary case is Poisson distribution with expected value R_0	2.92	2.28-3.67
Read <i>et al</i> . ⁴	China	1–22 January 2020	Mathematical transmission model assuming latent period = 4 days and near to the incubation period	Assumes daily time increments with Poisson-distribution and apply a deterministic SEIR metapopulation transmission model, transmission rate = 1.94, infectious period =1.61 days	3.11	2.39-4.13
Majumder et al. ⁵	Wuhan	8 December 2019 and 26 January 2020	Mathematical Incidence Decay and Exponential Adjustment (IDEA) model	Adopted mean serial interval lengths from SARS and MERS ranging from 6 to 10 days to fit the IDEA model,	2.0–3.1 (2.55)	/
WHO	China	18 January 2020	/	1	1.4–2.5 (1.95)	/
Cao <i>et al.</i> ⁶	China	23 January 2020	Mathematical model including compartments Susceptible-Exposed-Infectious- Recovered-Death-Cumulative (SEIRDC)	$R = K 2 (L \times D) + K(L + D) + 1$ L = average latent period = 7, D = average latent infectious period = 9, K = logarithmic growth rate of the case counts	4.08	/
Zhao <i>et al.</i> 7	China	10–24 January 2020	Statistical exponential growth model method adopting serial interval from SARS (mean = 8.4 days, SD = 3.8 days) and MERS (mean = 7.6 days, SD = 3.4 days)	Corresponding to 8-fold increase in the reporting rate $R_0 = 1/M(-r)$ r = intrinsic growth rate $M =$ moment generating function	2.24	1.96–2.55

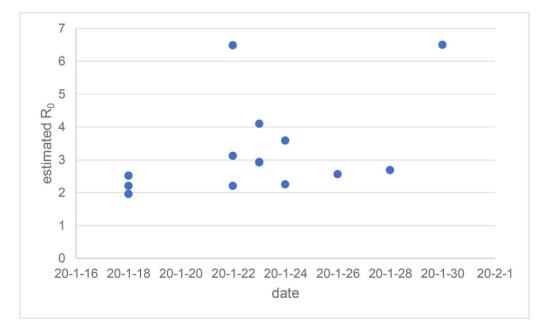
(continued)

(study year)(average)ClZhao et al.?China10–24 January 2020Statistical exponential growth model method adopting serial interval from SARS (mean = 8.4 days, SD = 3.8 days) and MERS (mean = 7.6 days, SD = 3.4 days)Corresponding to 2-fold increase in the reporting rate $R_0 = 1/M(-r)$ $r = intrinsic growth rateM = moment generatingfunction3.582.Imai (2020)*WuhanJanuary 18, 2020Mathematical model,computational modelling ofpotential epidemic trajectoriesAssume SARS-like levels ofcase-to-case variability in thenumbers of secondary cases anda SARS-like generation timewith 8.4 days, and set numberof cases caused by zoonoticeexposure and assumed totalnumber of secs to estimate R_0values for best-case, median andworket assumed totalnumber of cases to estimate R_0values for best-case, median andworket assumed totalnumber of cases to estimate R_0values for best-case, median andworket assumed totalnumber of cases to estimate R_0values for best-case, median andworket assumed totalnumber of cases to estimate R_0values for best-case, median andworket assumed totalnumber of cases to estimate R_0values for best-case, median andworket assumed totalnumber of cases to estimate R_0values for best-case, median andworket assumed totalnumber of asses to estimate R_0values for best-case, median andworket asset2.2Julien andAlthaus*18 January 2020Stochastic simulations of earlyoutbreak trajectories2.2Tang et al.1*China22 January 2020Mathematical SEIR-typeeridemiological modelincerventionsMethod-based method andLikelihood-based m$									
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	•	Location	Study date	Methods	Approaches	0	95% CI		
AuthorsComputational modelling of potential epidemic trajectoriescase-to-case variability in the numbers of secondary cases and a SARS-like generation time 	Zhao <i>et al.</i> ⁷	China		model method adopting serial interval from SARS (mean = 8.4 days, SD = 3.8 days) and MERS (mean = 7.6 days,	increase in the reporting rate $R_0 = 1/M(-r)$ r = intrinsic growth rate M = moment generating	3.58	2.89-4.39		
Althaus ⁹ overseas outbreak trajectories outbreak trajectories were performed that are consistent with the epidemiological findings to date Tang et al. ¹⁰ China 22 January 2020 Mathematical SEIR-type Method-based method and 6.47 6.47 5. epidemiological model Likelihood-based method incorporates appropriate compartments corresponding to interventions Qun Li China 22 January 2020 Statistical exponential growth mean incubation 2.2 1.	Imai (2020) ⁸	Wuhan	January 18, 2020	computational modelling of	case-to-case variability in the numbers of secondary cases and a SARS-like generation time with 8.4 days, and set number of cases caused by zoonotic exposure and assumed total number of cases to estimate R_0 values for best-case, median and	1.5–3.5 (2.5)	1		
Tang et al.10China22 January 2020Mathematical SEIR-type epidemiological model incorporates appropriate compartments corresponding to interventionsMethod-based method and Likelihood-based method6.475.Qun LiChina22 January 2020Statistical exponential growth modelMean incubation2.21.et al.11modelperiod = 5.2 days, mean serial2.21.	0		18 January 2020		outbreak trajectories were performed that are consistent with the epidemiological	2.2			
<i>et al.</i> ¹¹ model period = 5.2 days, mean serial	Tang et al. ¹⁰	China	22 January 2020	epidemiological model incorporates appropriate compartments corresponding to	Method-based method and	6.47	5.71-7.23		
interval = /.5 days	N	China	22 January 2020			2.2	1.4–3.9		
Averaged 3.28	Averaged					3.28			

Journal of Travel Medicine

Table 1. Continued

CI, Confidence interval.





despite the heightened public awareness and impressively strong interventional response, the COVID-19 is already more widespread than SARS, indicating it may be more transmissible.

Conclusions

This review found that the estimated mean R_0 for COVID-19 is around 3.28, with a median of 2.79 and IQR of 1.16, which is considerably higher than the WHO estimate at 1.95. These estimates of R_0 depend on the estimation method used as well as the validity of the underlying assumptions. Due to insufficient data and short onset time, current estimates of R_0 for COVID-19 are possibly biased. However, as more data are accumulated, estimation error can be expected to decrease and a clearer picture should form. Based on these considerations, R_0 for COVID-19 is expected to be around 2–3, which is broadly consistent with the WHO estimate.

Author contributions

J.R. and A.W.S. had the idea, and Y.L. did the literature search and created the table and figure. Y.L. and A.W.S. wrote the first draft; A.A.G. drafted the final manuscript. All authors contributed to the final manuscript.

Conflict of interest

None declared.

References

 Wu JT, Leung K, Leung GM. Nowcasting and forecasting the potential domestic and international spread of the 2019-nCoV outbreak originating in Wuhan, China: a modelling study, The Lancet, 2020.

- Shen M, Peng Z, Xiao Y, Zhang L. Modelling the epidemic trend of the 2019 novel coronavirus outbreak in China. *bioRxiv* 2020. doi: https://doi.org/10.1101/2020.01.23.916726.
- Liu T, Hu J, Kang M et al. Transmission dynamics of 2019 novel coronavirus (2019-nCoV). bioRxiv 2020. doi: https://doi.org/ 10.1101/2020.01.25.919787.
- Read JM, Bridgen JRE, Cummings DAT, Ho A, Jewell CP. Novel coronavirus 2019-nCoV: early estimation of epidemiological parameters and epidemic predictions. *medRxiv* 2020. doi: https://doi. org/10.1101/2020.01.23.20018549.
- Majumder, M, Mandl, KD. (2020) Early transmissibility assessment of a novel coronavirus in Wuhan, China. https://papers.ssrn.com/ abstract=3524675 (27 January 2020, date last accessed).
- Cao Z Zhang Q, Lu X *et al.* Estimating the effective reproduction number of the 2019-nCoV in China. *medRxiv* 2020. doi: https://doi.org/10.1101/2020.01.27.20018952.
- Zhao S, Ran J, Musa SS *et al.* Preliminary estimation of the basic reproduction number of novel coronavirus (2019-nCoV) in China, from 2019 to 2020: a datadriven analysis in the early phase of the outbreak. *bioRxiv* 2020. doi: https://doi.org/10.1101/2020.01.23. 916395.
- Imai N, Cori A, Dorigatti I et al. Report 3: transmissibility of 2019nCoV. 2020. WHO Collaborating Centre for Infectious Disease Modelling, MRC Centre for Global Infectious Disease Analysis, J-IDEA, Imperial College London, UK.
- Riou J, Althaus CL. Pattern of early human-to-human transmission of Wuhan 2019-nCoV. *bioRxiv* 2020. https://www.biorxiv.org/ content/10.1101/2020.01.23.917351v1.full.pdf (27 January 2020, date last accessed).
- Tang B, Wang X, Li Q *et al.* Estimation of the transmission risk of 2019-nCov and its implication for public health interventions (January 24, 2020). https://ssrn.com/abstract=3525558 or https://doi.org/10.2139/ssrn.3525558 (9 February 2020, date last accessed).
- Qun L et al. 2020. Early transmission dynamics in wuhan, china, of novel coronavirus-infected pneumonia. New England Journal of Medicine. 10.1056/NEJMoa2001316.